

Solar Stewardship Initiative: **Public Summary Report**

Certificate of System Conformity

| | |
|---------------------------------|--|
| SSI Member | Canadian Solar |
| Certified Site | CSI Cells (Suqian) Co., Ltd. |
| Certificate No. | 27102022-0009 |
| SSI Standard | Supply Chain Traceability |
| Assessment Body | Kiwa |
| Assessment Type | Initial |
| Current Grade | Silver |
| Traceability System Boundary | Cell to Metallurgical grade silicon (MG-Si) |
| Traceability Management System | Implemented |
| System Readiness | Verified |
| Share of Traceable Transactions | Low |
| Certification Performance Trend | Not Applicable |
| Certificate Issue Date | 12/03/2026 |
| Certificate Expiry Date | 11/03/2027 |
| Site Certified Since | 12/03/2026 |

Authorised by:



Julija Menise

Head of Standards and Assurance

Understanding the Assessment Type

- **Initial Assessment**

A comprehensive assessment of a site against all SSI Standard (s) requirements, conducted to determine the level of compliance and to identify any non-conformances.

- **Follow-up Assessment**

A subsequent assessment conducted after an unsuccessful Initial Assessment, to verify that corrective actions have been implemented for non-conformances identified during the Initial Assessment.

- **Surveillance Assessment**

An interim assessment during the certification cycle to confirm ongoing compliance with the SSI Standard(s). It verifies closure of minor non-conformances and observations, reviews any scope changes (e.g. new production lines), and samples requirements to ensure no new risks or regressions have arisen.

- **Recertification Assessment**

An assessment conducted at the end of a three-year cycle that covers all current production lines and fully reflects any significant scope changes since the last full assessment.

Understanding the Assessment Outcome

| Level of Traceability | Conformance Ratings | Corrective Action Plan | Outcome and Score |
|-------------------------------------|---------------------|---|---|
| Quartz | Major NC: 0 | None | Gold (No certification awarded until all Minor NC are closed.) |
| | Minor NC: <5 | Root Cause Analysis and CAP to be developed by the SSI Manufacturing Members and sent to the AB | |
| Metallurgical grade silicon (MG-Si) | Major NC: 0 | None | Silver |
| | Minor NC: <5 | Root Cause Analysis and CAP to be developed by the SSI Manufacturing Members and sent to the AB | |
| Polysilicon | Major NC: 0 | None | Bronze |
| | Minor NC: <5 | Root Cause Analysis and CAP to be developed by the SSI Manufacturing Members and sent to the AB | |

Traceable Transactions Reviewed During the Assessment

The assessment verified that the facility has established the management systems, procedures, and controls required to trace material in accordance with the SSI Supply Chain Traceability Standard. At the time of assessment, traceability was implemented on a transaction-dependent basis, with traceable material flows occurring where customers request SSI-traceable products.

This indicator reflects the proportion of production transactions during the assessment period that were processed as SSI-traceable and therefore available for verification by the assessor.

| CATEGORY SHARE OF TRACEABLE TRANSACTIONS REVIEWED INTERPRETATION | | |
|--|------------------------|--|
| Supply Chain Tier | Supplier Coverage | Traceability Capability |
| Low | 0–10% of transactions | Traceable orders were limited and typically customer-driven. Assessment primarily verified system readiness and traceability procedures. |
| Medium | 10–50% of transactions | Traceable orders represent a meaningful portion of production. Assessors verified multiple traceable production flows. |
| High | >50% of transactions | Traceable production represents a majority of operations. Traceability is integrated into routine production processes. |

Solar Stewardship Initiative: **Section 1: Site Information**

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| CERTIFIED SITE OVERVIEW | |
|-------------------------|---|
| SSI Member | Canadian Solar |
| Certified Site | CSI Cells (Suqian) Co., Ltd. |
| Site Location | No. 5005, Zhenxing Avenue, Suqian Economic Development Zone, Suqian City, Jiangsu Province, China |
| Site Type | Cell |
| Assessment Type | Initial |
| Assessment Dates | 04-05/02/2026 |
| Next Assessment Type | Surveillance |
| Next Assessment Due | 11/03/2027 |
| Claims | <p>The certified site may communicate its certification status in accordance with the SSI Claims Guide.</p> <p>Claims may be used on company websites, corporate materials, invoices, and contractual documents.</p> <p>Product-level claims are not permitted.</p> <p>Further guidance is available in the SSI Claims Guide.</p> |

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This section describes the upstream supply chain tiers covered by the site's traceability management system.

It identifies the supply chain tiers for which supplier identification and traceability management system documentation have been established and verified within the certification scope.

Clarification: The traceability management system enables the facility to track silicon inputs through the supply chain tiers listed above when SSI traceable transactions occur. The inclusion of a supply chain tier in this table reflects the capability of the traceability management system within the defined certification scope, and does not imply that all materials are traced across all tiers at all times.

| TRACEABILITY SYSTEM SCOPE | | |
|-----------------------------------|-------------------------------|--------------------------------------|
| Supply Chain Tier | Supplier Coverage | Traceability Capability |
| Cell | Approved suppliers identified | Traceability documentation available |
| Wafer | Approved suppliers identified | Traceability documentation available |
| Ingot | Approved suppliers identified | Traceability documentation available |
| Polysilicon | Approved suppliers identified | Traceability documentation available |
| Metallurgical-grade silicon (MGS) | Approved suppliers identified | Traceability documentation available |

Solar Stewardship Initiative:

Section 2: Summary of Findings

Summary Of Findings

| OVERVIEW | | | | | | | |
|---|-----------|-----------|-----------|----------|----------|----------|----------|
| Topic | TSR | TRA | C | Mi NC | Ma NC | O | N/A |
| 1. Management System and Responsibilities | 9 | 9 | 5 | 1 | 0 | 2 | 1 |
| 2. Material Accounting System | 7 | 7 | 4 | 2 | 0 | 0 | 1 |
| 3. Eligible Material | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 4. Outsourced Contractors | 5 | 5 | 0 | 0 | 0 | 0 | 5 |
| 5. Claims | 3 | 3 | 3 | 0 | 0 | 0 | 0 |
| TOTAL COUNT | 25 | 25 | 13 | 3 | 0 | 2 | 7 |

References:

TSR: Total Standard Requirements
TRA: Total Requirements Assessed
C: Conformance

Mi NC: Minor Non-Conformance
Ma NC: Major Non-Conformance
O: Observation
N/A: Not Applicable

Understanding Conformance Ratings

Note

“Total Standard Requirements” shows the full number of applicable Standard requirements, while “Total Requirements Assessed” shows how many were reviewed in this assessment.

- **Conformance (C):**

Systems, policies, procedures, and processes performed in a manner that is in line with the intent of the SSI Standards.

- **Minor Non-Conformance (Mi NC):**

An isolated lapse of performance or control.

A breach which represents low risk to workers/those on site.

A policy issue where there is no evidence of material breach.

Policies or procedures that have been recently adopted but have not been in place or implemented for a sufficient period to demonstrate effective application.

- **Major Non-Conformance (Ma NC):**

A systemic failure or total lack of required controls by the site.

A total absence of implementation of the requirement.

A breach of law.

A group of related, repetitive, or persistent minor non-conformances indicating inadequate implementation.

- **Observation (O):**

A Conformance that meets the fundamental requirements of the SSI Standard(s) but needs improvement.

If this improvement is not adequately addressed, the Observation could escalate to a Non-Conformance during the next Assessment.

- **Not Applicable (N/A):**

A requirement which cannot be implemented by a site due to the nature of its operations.

Solar Stewardship Initiative:

Section 3: Detailed Findings Breakdown

Findings Breakdown

| SCT CHAPTER 1 - MANAGEMENT SYSTEM AND RESPONSIBILITIES | | | | | | | |
|--|----------|----------|----------|----------|----------|----------|----------|
| Topic | TSR | TRA | C | Mi NC | Ma NC | O | N/A |
| 1.1 SSI Membership | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 1.2 Management System | 1 | 1 | 0 | 1 (*) | 0 | 0 | 0 |
| 1.3 Leadership and Management Representation | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 1.4 Communications and Training | 1 | 1 | 0 | 0 | 0 | 1 (**) | 0 |
| 1.5 Record Maintenance | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 1.6 Verification | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 1.7 Management System Review | 1 | 1 | 0 | 0 | 0 | 1 (***) | 0 |
| 1.8 Inconsistencies and complaints | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 1.9 Reporting Obligations | 1 | 1 | 0 | 0 | 0 | 0 | 1 (****) |
| TOTAL COUNT | 9 | 9 | 5 | 1 | 0 | 2 | 1 |

(*)1.2 Management System – Minor non-conformance

The Facility has established a documented traceability management system, including policies, procedures, and supporting controls aligned with the Standard.

However, several key SSI-specific traceability procedures and supporting management system controls had been implemented only recently and had not been in operation for the minimum required period of 6 months at the time of the assessment. As a result, sufficient evidence of sustained implementation and effectiveness of these processes could not yet be demonstrated.

This results in a Minor Non-Conformance because, while the management system framework is established, evidence of consistent implementation over the required timeframe has not yet been fully demonstrated.

The Facility should ensure that all key components of the traceability management system are implemented and operating for a sufficient period and that evidence of sustained application is maintained to demonstrate system effectiveness and maturity.

()1.4 Communications and training - Observation**

The Facility has established and implemented communication processes to support SSI traceability requirements, including both internal and external communication channels.

However, the communication arrangements are not fully integrated into the formal governance structure of the traceability management system, and the linkage between communication activities and controlled management system documentation is not clearly defined.

This observation is raised because, while communication processes are functioning in practice, further integration into the management system would strengthen consistency, accountability, and long-term system control. The Facility is encouraged to formally integrate communication processes into the traceability management system and clearly define responsibilities for implementation, monitoring, and continual improvement.

(*)1.7 Management System Review – Observation**

The Facility conducts periodic management system reviews that include key performance and traceability-related inputs, with conclusions and improvement actions documented.

However, the evidence reviewed did not clearly demonstrate a formalised and controlled methodology for evaluating management system effectiveness, including defined criteria, responsibilities, and a consistent evaluation approach.

This observation is raised because management system evaluations are being performed, but further formalisation of the evaluation process would improve consistency, repeatability, and the reliability of results over time. The Facility is encouraged to establish and document a clear methodology for evaluating management system effectiveness and ensure its consistent application as part of the traceability management system.

(**)1.9 Traceability Reporting – N/A**

The Facility is required to report annual traceability data to the SSI Secretariat in line with the Standard requirements.

However, at the time of the assessment, the first reporting deadline had not yet been reached.

This results in N/A because the reporting obligation was not applicable during the assessment period.

The Facility should ensure systems are in place to comply with reporting requirements by the applicable deadline.

Findings Breakdown

| SCT CHAPTER 2 - MATERIAL ACCOUNTING SYSTEM | | | | | | | |
|--|----------|----------|----------|----------|----------|----------|----------|
| Topic | TSR | TRA | C | Mi NC | Ma NC | O | N/A |
| 2.1 Approved Suppliers | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 2.2 Internal Material Control | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 2.3 Segregation & Labelling | 1 | 1 | 0 | 1 (*) | 0 | 0 | 0 |
| 2.4 Reconciliation | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 2.5 Documentation | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 2.6 Transfer Records | 1 | 1 | 0 | 1 (**) | 0 | 0 | 0 |
| 2.7 Traders | 1 | 1 | 0 | 0 | 0 | 0 | 1 (***) |
| TOTAL COUNT | 7 | 7 | 4 | 2 | 0 | 0 | 1 |

(*)2.3 Segregation and Labelling – Minor non-conformance

The Facility has established and implemented segregation controls for Certified Ready Materials, including measures to prevent mixing during storage, production, and transportation activities.

However, certain segregation practices were not formally documented within the traceability management system, and evidence of awareness or training on these controls for relevant personnel was not fully demonstrated.

This results in a Minor Non-Conformance because, while segregation controls are being implemented effectively in practice, the supporting system controls and personnel awareness mechanisms are not fully established to ensure consistent application over time.

The Facility should ensure that all segregation controls are formally documented within the management system and that relevant personnel are appropriately trained and made aware of the applicable requirements.

()2.6 Transfer Records – Minor non-conformance**

The Facility has established procedures for the receipt and verification of Certification-Ready Materials, including checks to confirm consistency between received materials and supporting traceability documentation. However, the evidence reviewed identified that verification outcomes were not consistently and clearly documented within the relevant inspection records.

This results in a Minor Non-Conformance because, while the verification process is established and implemented, the associated records do not consistently demonstrate completion of the required controls.

The Facility should ensure that the results of material receipt and traceability verification activities are consistently documented and maintained to support the reliability and auditability of the traceability system.

(*)2.7 Traders – N/A**

The auditor reviewed supplier records, procurement contracts, and purchasing documentation for the assessment period.

The assessment confirmed that the Facility sourced all in-scope silicon-based materials directly from suppliers and did not procure materials through traders.

Therefore, the requirement for trader due diligence was determined to be not applicable for the assessment period.

Findings Breakdown

| SCT CHAPTER 3 - ELIGIBLE MATERIAL | | | | | | | |
|-----------------------------------|----------|----------|----------|----------|----------|----------|----------|
| Topic | TSR | TRA | C | Mi NC | Ma NC | O | N/A |
| 3.1 Silicon | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| TOTAL COUNT | 1 | 1 | 1 | 0 | 0 | 0 | 0 |

Findings Breakdown

| SCT CHAPTER 4 - OUTSOURCED CONTRACTORS | | | | | | | |
|---|----------|----------|----------|----------|----------|----------|--------------|
| Topic | TSR | TRA | C | Mi NC | Ma NC | O | N/A |
| 4.1 Use of Outsourced Contractors | 1 | 1 | 0 | 0 | 0 | 0 | 1 |
| 4.2 Material Return | 1 | 1 | 0 | 0 | 0 | 0 | 1 |
| 4.3 Scope | 1 | 1 | 0 | 0 | 0 | 0 | 1 |
| 4.4 Records | 1 | 1 | 0 | 0 | 0 | 0 | 1 |
| 4.5 Encouraging SSI Certification of Outsourced Contractors | 1 | 1 | 0 | 0 | 0 | 0 | 1 |
| TOTAL COUNT | 5 | 5 | 0 | 0 | 0 | 0 | 5 (*) |

(*) 4.1–4.5 Outsourced Contractors – N/A

The Facility performs all relevant activities internally and does not engage outsourced contractors handling certified or traceable material within the certification scope.

Therefore, no outsourcing, material return from external processing, or inclusion of contractors in the certification scope was identified during the assessment.

This results in N/A because the requirements related to outsourced contractors are not applicable.

The Facility should ensure appropriate controls, verification processes, and scope inclusion are established if outsourced contractors are engaged in the future.

Findings Breakdown

SCT CHAPTER 5 - CLAIMS

| Topic | TSR | TRA | C | Mi NC | Ma NC | O | N/A |
|--|----------|----------|----------|----------|----------|----------|----------|
| 5.1 Use of SSI Logo and Trademarks | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 5.2 Accuracy and Documentation of Certification Claims | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| 5.3 Compliance with the SSI Claims Guide | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| TOTAL COUNT | 3 | 3 | 3 | 0 | 0 | 0 | 0 |

Solar Stewardship Initiative:

Section 4: Feedback & Liability

Stakeholder Feedback and Certification Notice

The Solar Stewardship Initiative (SSI) welcomes comments and feedback from all stakeholders regarding this Public Summary Report and the associated certification.

Stakeholders may submit comments, concerns, or relevant information related to a certified facility or the application of the SSI Standards at any time.

Feedback can be submitted to:
contact@solarstewardshipinitiative.org

All submissions will be reviewed in accordance with SSI's procedures for complaints, disputes, and stakeholder engagement.

Limitation of Liability

Certification under the SSI Supply Chain Traceability Standard confirms that, at the time of assessment, the certified site demonstrated conformity with the requirements of the applicable SSI Standard within the defined certification scope.

SSI certification does not constitute a guarantee of ongoing performance, product quality, or compliance with all applicable laws and regulations.

Organisations making claims related to SSI certification remain solely responsible for ensuring that their communications, marketing materials, product information, and contractual representations comply with applicable legislation, including laws relating to consumer protection, marketing practices, labelling, and competition.

SSI does not assume liability for actions taken by certified entities or third parties based on certification status.

Certification Validity

The validity of this certification is subject to the certified site maintaining ongoing conformity with the applicable SSI Standard and successfully completing required surveillance and recertification assessments within the defined certification cycle.

Certification status may be suspended, withdrawn, or modified if non-conformances are not adequately addressed or if certification requirements are no longer met.

The current certification status of any SSI member or certified site can be verified at:
<https://www.solarstewardshipinitiative.org>