

Solar Stewardship Initiative: **Public Summary Report**

Certificate of System Conformity

SSI Member	Qinghai Lihao
Certified Site	Qinghai Lihao Clean Energy Co., Ltd.
Certificate No.	17102022-0022
SSI Standard	ESG
Assessment Body	TÜV Rheinland
Assessment Type	Initial
Current grade	Silver
Certification Performance Trend	Not Applicable
Certificate Issue Date	06/05/2026
Certificate Expiry Date	05/05/2027
Site Certified Since	06/05/2026

Authorised by:



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Head of Standards and Assurance

Understanding the Assessment Type

- **Initial Assessment**

A comprehensive assessment of a site against all SSI Standard (s) requirements, conducted to determine the level of compliance and to identify any non-conformances.

- **Follow-up Assessment**

A subsequent assessment conducted after an unsuccessful Initial Assessment, to verify that corrective actions have been implemented for non-conformances identified during the Initial Assessment.

- **Surveillance Assessment**

An interim assessment during the certification cycle to confirm ongoing compliance with the SSI Standard(s). It verifies closure of minor non-conformances and observations, reviews any scope changes (e.g. new production lines), and samples requirements to ensure no new risks or regressions have arisen.

- **Recertification Assessment**

An assessment conducted at the end of a three-year cycle that covers all current production lines and fully reflects any significant scope changes since the last full assessment.

Solar Stewardship Initiative: **Section 1: Site Information**

Public Summary Report

CERTIFIED SITE OVERVIEW	
SSI Member	Qinghai Lihao
Certified Site	Qinghai Lihao Clean Energy Co., Ltd.
Site Location	No. 258, Hongyahe Village, Shangxin Zhuang Town, Huangzhong District, Xining City, Qinghai Province, China
Site Type	Polysilicon Manufacturer
Assessment Type	Initial
Assessment Dates	03 - 06/03/2026
Next Assessment Type	Surveillance Year 1
Next Assessment Due	05/05/2027
Claims	The certified member site claims may only be displayed on company websites, flyers and other marketing materials, corporate material, invoices, letter heads and other contractual documents. No product-related claims are allowed. For more information check the SSI Claims Guide.

Solar Stewardship Initiative:

Section 2: Summary of Findings

Summary of Findings

OVERVIEW							
Topic	TSR	TRA	C	Mi NC	Ma NC	O	N/A
Governance and Business Ethics	23	23	22	1	0	0	0
Environment	21	21	21	0	0	0	0
Human and Labour Rights	52	52	45	2	0	0	5
TOTAL COUNT	96	96	88	3	0	0	5

References:

TSR: Total Standard Requirements
TRA: Total Requirements Assessed
C: Conformance

Mi NC: Minor Non-Conformance
Ma NC: Major Non-Conformance
O: Observation
N/A: Not Applicable

Understanding Conformance Ratings

Note

“Total Standard Requirements” shows the full number of applicable Standard requirements, while “Total Requirements Assessed” shows how many were reviewed in this assessment.

- **Conformance (C):**

Systems, policies, procedures, and processes performed in a manner that is in line with the intent of the SSI Standards.

- **Minor Non-Conformance (Mi NC):**

An isolated lapse of performance or control.

A breach which represents low risk to workers/those on site.

A policy issue where there is no evidence of material breach.

Policies or procedures that have been recently adopted but have not been in place or implemented for a sufficient period to demonstrate effective application.

- **Major Non-Conformance (Ma NC):**

A systemic failure or total lack of required controls by the site.

A total absence of implementation of the requirement.

A breach of law.

A group of related, repetitive, or persistent minor non-conformances indicating inadequate implementation.

- **Observation (O):**

A Conformance that meets the fundamental requirements of the SSI Standard(s) but needs improvement.

If this improvement is not adequately addressed, the Observation could escalate to a Non-Conformance during the next Assessment.

- **Not Applicable (N/A):**

A requirement which cannot be implemented by a site due to the nature of its operations.

Solar Stewardship Initiative:

Section 3: Detailed Findings Breakdown

Findings Breakdown

ESG - GOVERNANCE AND BUSINESS ETHICS							
Topic	TSR	TRA	C	Mi NC	Ma NC	O	N/A
1. Business Integrity	3	3	3	0	0	0	0
2. Policy and Management	7	7	7	0	0	0	0
3. Stakeholders and Communities	7	7	7	0	0	0	0
4. Transparency	1	1	1	0	0	0	0
5. Responsible Sourcing	5	5	4	1 (*)	0	0	0
TOTAL COUNT	23	23	22	1	0	0	0

Governance and Business Ethics

Non Conformances Overview

(*) 5.2 Know Your Counterparty (KYC) checks— Minor Non-Conformance

The Facility has established a KYC process and maintains KYC and risk assessment records for relevant suppliers.

However, the evidence reviewed did not clearly demonstrate that KYC procedures are consistently applied across all supplier categories within the scope of the Standard.

This results in a Minor non-conformance because, while a KYC system is in place, its implementation and coverage across the full supplier base have not been fully demonstrated.

The Facility should ensure that KYC and due diligence processes are consistently applied to all relevant suppliers and maintain documented evidence of implementation.

Findings Breakdown

ESG - ENVIRONMENT							
Topic	TSR	TRA	C	Mi NC	Ma NC	O	N/A
6. Greenhouse Gas Emissions Management	3	3	3	0	0	0	0
7. Water Management	4	4	4	0	0	0	0
8. Waste Management	2	2	2	0	0	0	0
9. Pollution Management	3	3	3	0	0	0	0
10. Biodiversity Management	5	5	5	0	0	0	0
11. Circularity	4	4	4	0	0	0	0
TOTAL COUNT	21	21	21	0	0	0	0

Findings Breakdown

ESG - HUMAN AND LABOUR RIGHTS							
Topic	TSR	TRA	C	Mi NC	Ma NC	O	N/A
12. Human Rights	7	7	6	0	0	0	1
13. Labour Rights	29	29	25	0	0	0	4
14. Occupational Health and Safety (Worker's Protection)	16	16	14	2 (*) (**)	0	0	0
TOTAL COUNT	52	52	45	2	0	0	5

Human and Labour Rights

Non Conformances Overview

(*) 14.3 General Occupational Health and Safety – Minor Non-Conformance

The Facility has established a documented PPE management system, including procedures for PPE issuance, maintenance, and the identification of PPE requirements for relevant job functions.

However, the evidence reviewed identified that PPE requirements were not comprehensively defined for all applicable positions, indicating that the PPE matrix was not fully implemented across the workforce.

This results in a Minor Non-Conformance because, although a PPE management system is in place, its coverage and implementation have not been fully demonstrated for all relevant roles.

The Facility should ensure that PPE requirements are systematically identified, documented, and maintained for all applicable positions to support effective implementation of the PPE management system.

() 14.9 Chemical Management – Minor Non-Conformance**

The Facility has established a hazardous substances management and reduction framework, supported by the use of relatively new production processes and technologies.

However, the evidence reviewed identified that the reduction plan did not include defined objectives or targets for the continual reduction of hazardous substances.

This results in a Minor Non-Conformance because, while a management framework is in place, measurable objectives for continual improvement have not been fully established. The Facility should establish and maintain defined objectives and targets for the continual reduction of hazardous substances and monitor progress against these objectives.



Solar Stewardship Initiative: **Section 4: Feedback & Liability**

Disclaimer: *This report is a summary of the SSL Assessment. This is a live document and the latest version can be found on <https://www.solarstewardshipinitiative.org/>*

Stakeholder Feedback and Certification Notice

The Solar Stewardship Initiative (SSI) welcomes comments and feedback from all stakeholders regarding this Public Summary Report and the associated certification.

Stakeholders may submit comments, concerns, or relevant information related to a certified facility or the application of the SSI Standards at any time.

Feedback can be submitted to:
contact@solarstewardshipinitiative.org

All submissions will be reviewed in accordance with SSI's procedures for complaints, disputes, and stakeholder engagement.

Limitation of Liability

Certification under the SSI ESG Standard confirms that, at the time of assessment, the certified site demonstrated conformity with the requirements of the applicable SSI Standard within the defined certification scope.

SSI certification does not constitute a guarantee of ongoing performance, product quality, or compliance with all applicable laws and regulations.

Organisations making claims related to SSI certification remain solely responsible for ensuring that their communications, marketing materials, product information, and contractual representations comply with applicable legislation, including laws relating to consumer protection, marketing practices, labelling, and competition.

SSI does not assume liability for actions taken by certified entities or third parties based on certification status.

Certification Validity

The validity of this certification is subject to the certified site maintaining ongoing conformity with the applicable SSI Standard and successfully completing required surveillance and recertification assessments within the defined certification cycle.

Certification status may be suspended, withdrawn, or modified if non-conformances are not adequately addressed or if certification requirements are no longer met.

The current certification status of any SSI member or certified site can be verified at:
<https://www.solarstewardshipinitiative.org>