

Solar Stewardship Initiative: **Public Summary Report**

Certificate of System Conformity

SSI Member	JA Solar GmbH
Certified Site	DongTai JA Solar Technology Co., Ltd.
Certificate No.	17102022-0029
SSI Standard	ESG
Assessment Body	TÜV SÜD
Assessment Type	Initial
Current grade	Silver
Certification Performance Trend	Not Applicable
Certificate Issue Date	28/09/2026
Certificate Expiry Date	27/09/2027
Site Certified Since	28/09/2026

Authorised by:



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Head of Standards & Assurance

Understanding the Assessment Type

- **Initial Assessment**

A comprehensive assessment of a site against all SSI Standard (s) requirements, conducted to determine the level of compliance and to identify any non-conformances.

- **Follow-up Assessment**

A subsequent assessment conducted after an unsuccessful Initial Assessment, to verify that corrective actions have been implemented for non-conformances identified during the Initial Assessment.

- **Surveillance Assessment**

An interim assessment during the certification cycle to confirm ongoing compliance with the SSI Standard(s). It verifies closure of minor non-conformances and observations, reviews any scope changes (e.g. new production lines), and samples requirements to ensure no new risks or regressions have arisen.

- **Recertification Assessment**

An assessment conducted at the end of a three-year cycle that covers all current production lines and fully reflects any significant scope changes since the last full assessment.

Solar Stewardship Initiative:

Section 1: Site Information

Public Summary Report

CERTIFIED SITE OVERVIEW	
SSI Member	JA Solar GmbH
Certified Site	DongTai JA Solar Technology Co., Ltd.
Site Location	No. 8 Zaofeng North Road, Dongtai High-tech Zone, Dongtai City, Yancheng City, Jiangsu Province, China 224248
Site Type	Module and Cell Manufacturer
Assessment Type	Initial
Initial Assessment	08-10/04/2026
Next Assessment Type	Surveillance Year 1
Next Assessment Due	27/09/2027
Claims	The certified member site claims may only be displayed on company websites, flyers and other marketing materials, corporate material, invoices, letter heads and other contractual documents. No product-related claims are allowed. For more information check the SSI Claims Guide.

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Section 2: Summary of Findings

Summary of Findings

OVERVIEW							
Topic	TSR	TRA	C	Mi NC	Ma NC	O	N/A
Governance and Business Ethics	23	23	17	3	0	3	0
Environment	21	21	21	0	0	0	0
Human and Labour Rights	52	52	47	1	0	1	3
TOTAL COUNT	96	96	85	4	0	4	3

References:

TSR: Total Standard Requirements

TRA: Total Requirements Assessed

C: Conformance

Mi NC: Minor Non-Conformance

Ma NC: Major Non-Conformance

O: Observation

N/A: Not Applicable

Understanding Conformance Ratings

Note

“Total Standard Requirements” shows the full number of applicable Standard requirements, while “Total Requirements Assessed” shows how many were reviewed in this assessment.

- **Conformance (C):**

Systems, policies, procedures, and processes performed in a manner that is in line with the intent of the SSI Standards.

- **Minor Non-Conformance (Mi NC):**

An isolated lapse of performance or control.

A breach which represents low risk to workers/those on site.

A policy issue where there is no evidence of material breach.

Policies or procedures that have been recently adopted but have not been in place or implemented for a sufficient period to demonstrate effective application.

- **Major Non-Conformance (Ma NC):**

A systemic failure or total lack of required controls by the site.

A total absence of implementation of the requirement.

A breach of law.

A group of related, repetitive, or persistent minor non-conformances indicating inadequate implementation.

- **Observation (O):**

A Conformance that meets the fundamental requirements of the SSI Standard(s) but needs improvement.

If this improvement is not adequately addressed, the Observation could escalate to a Non-Conformance during the next Assessment.

- **Not Applicable (N/A):**

A requirement which cannot be implemented by a site due to the nature of its operations.

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Section 3: Detailed Findings Breakdown

Findings Breakdown

ESG - GOVERNANCE AND BUSINESS ETHICS							
Topic	TSR	TRA	C	Mi NC	Ma NC	O	N/A
1. Business Integrity	3	3	3	0	0	0	0
2. Policy and Management	7	7	6	1(i)	0	0	0
3. Stakeholders and Communities	7	7	7	0	0	0	0
4. Transparency	1	1	1	0	0	0	0
5. Responsible Sourcing	5	5	0	2(iii) (v)	0	3(ii) (iv) (vi)	0
TOTAL COUNT	23	23	17	3	0	3	0

Governance and Business Ethics

Non Conformances Overview

(i) 2.3 Management Systems — Minor Non-Conformance

The Facility has established ESG policies and communicates them through training, meetings, and other internal communication channels. Multilingual communication arrangements are also in place to support accessibility and understanding among relevant stakeholders.

However, the assessment identified inconsistent levels of worker awareness of the ESG policies and their key principles, indicating that the effectiveness of communication and training has not been fully demonstrated across the workforce.

This results in a Minor Non-Conformance because communication mechanisms are established and implemented, but their effectiveness in ensuring consistent worker understanding has not been fully demonstrated.

The Facility should strengthen ESG policy communication and awareness activities and implement appropriate methods to verify worker understanding and the effectiveness of training and communication

(ii) 5.1 Responsible Sourcing Policy — Observation

The Facility operates under a Group-level Responsible Sourcing Policy covering relevant procurement activities and supply chain partners. The policy establishes responsible sourcing expectations and is supported by supplier qualification, risk assessment, due diligence, and monitoring processes. The framework covers key materials relevant to production and is implemented through defined Group-level functions.

However, responsible sourcing governance and policy ownership are managed centrally, and clear site-level responsibilities and oversight arrangements for implementation have not been fully established. In addition, while responsible sourcing expectations address key supply chain materials, the policy does not explicitly address sector-specific risks associated with quartz sourcing. Although quartz suppliers and upstream sources are covered through the broader due diligence processes, this coverage is not clearly reflected in the policy itself.

This results in an Observation because a Group-level responsible sourcing framework and implementation controls are established, but site-level governance and explicit policy coverage of relevant quartz supply chain risks could be further strengthened.

The Facility should establish clear site-level responsibilities and oversight for responsible sourcing implementation and strengthen the Responsible Sourcing Policy to explicitly address relevant risks associated with quartz sourcing and its upstream supply chain.

Governance and Business Ethics

Non Conformances Overview

(iii) 5.2 Know Your Counterparty (KYC) checks — Minor Non-Conformance

The Facility has established a risk-based KYC system that includes enhanced due diligence for high-risk and CAHRA-related suppliers. The system defines relevant screening criteria and uses recognised external sources to identify potential geographic and supply chain risks, with additional checks integrated into supplier due diligence and traceability processes.

However, the assessment did not demonstrate a sufficiently structured methodology for determining and documenting that current suppliers are not exposed to high-risk or CAHRA-related risks. While screening requirements and information sources are established, evidence of systematic risk determinations across the supplier base was not fully demonstrated.

This results in a Minor Non-Conformance because enhanced screening controls are established for high-risk and CAHRA-related suppliers, but the methodology for consistently identifying, assessing, and documenting the absence or presence of such risks has not been fully demonstrated.

The Facility should establish and document a systematic methodology for assessing all relevant suppliers against defined high-risk and CAHRA criteria and maintain evidence of the resulting risk determinations and any required enhanced due diligence.

(iv) 5.3 Communication of ESG Commitments — Observation

The Facility has established mechanisms to communicate ESG commitments and requirements to supply chain partners through training, supplier communications, acknowledgement of supplier commitments, and available reporting and feedback channels. Supplier questions and feedback are also addressed through interactive engagement activities.

However, the assessment did not demonstrate a formal and structured process for communicating ESG expectations to supply chain partners on an ongoing basis. In addition, the applicable SSI ESG Standard is not directly communicated, with communication primarily relying on internal policies and requirements incorporating relevant elements of the Standard. This results in an Observation because mechanisms for ESG communication and supplier feedback are established and implemented, but a formal, structured, and clearly documented process for communicating ESG expectations and the applicable requirements to supply chain partners has not been fully demonstrated.

The Facility should establish a formal process for communicating ESG expectations to supply chain partners, including clear information on applicable SSI ESG requirements, and maintain evidence of communication, feedback, responses, and follow-up.

Governance and Business Ethics

Non Conformances Overview

(v) 5.4 Sourcing from High-Risk Areas — Minor Non-Conformance

The Facility has established and implemented a responsible sourcing due diligence system, including responsible sourcing policies, supplier screening, KYC, CAHRA and sanctions screening, ESG assessments, supplier audits, upstream information collection, and risk mitigation activities. The system is intended to align with the OECD Due Diligence Guidance and is applied to the silicon supply chain.

However, the Responsible Minerals Due Diligence Management Policy does not explicitly include silicon and quartz within its stated scope, although these materials are covered by the SSI ESG Standard. In addition, the assessment did not clearly demonstrate a systematic methodology for identifying, assessing, and documenting the full range of applicable OECD Annex II risks across the silicon supply chain, including how human rights risks and other adverse impacts are defined, evaluated, and incorporated into supplier risk assessments and due diligence decisions.

This results in a Minor Non-Conformance because a responsible sourcing due diligence system is established and implemented, but its documented scope and systematic assessment of applicable OECD Annex II risks have not been fully demonstrated for materials within the scope of the SSI ESG Standard.

The Facility should strengthen its responsible sourcing due diligence framework by explicitly including applicable silicon and quartz materials within the policy scope and establishing a systematic methodology to identify, assess, document, and address all relevant OECD Annex II risks, including human rights risks, across the applicable supply chain.

(vi) 5.5 Management Systems — Observation

The Facility has established a process for periodically reviewing the effectiveness of its risk mitigation plan, covering areas including environmental protection, safety, supply chain, compliance, and other relevant risks. Regular reviews are assigned to responsible personnel and evidence demonstrated that the effectiveness of mitigation measures was reviewed as part of the ESG and supply chain due diligence processes.

However, the assessment identified that the review process does not include defined measurable KPIs or quantitative indicators to systematically evaluate the effectiveness of implemented risk mitigation measures.

This results in an Observation because a regular effectiveness review mechanism is established and implemented, but measurable indicators to objectively evaluate the effectiveness and outcomes of risk mitigation measures have not been fully established.

The Facility should strengthen its risk mitigation review process by establishing appropriate measurable KPIs or other objective indicators and using them to systematically evaluate mitigation effectiveness and identify opportunities for improvement.

Findings Breakdown

ESG - ENVIRONMENT							
Topic	TSR	TRA	C	Mi NC	Ma NC	O	N/A
6. Greenhouse Gas Emissions Management	3	3	3	0	0	0	0
7. Water Management	4	4	4	0	0	0	0
8. Waste Management	2	2	2	0	0	0	0
9. Pollution Management	3	3	3	0	0	0	0
10. Biodiversity Management	5	5	5	0	0	0	0
11. Circularity	4	4	4	0	0	0	0
TOTAL COUNT	21	21	21	0	0	0	0

Findings Breakdown

ESG - HUMAN AND LABOUR RIGHTS							
Topic	TSR	TRA	C	Mi NC	Ma NC	O	N/A
12. Human Rights	7	7	6	0	0	0	1
13. Labour Rights	29	29	26	0	0	1(i)	2
14. Occupational Health and Safety (Worker's Protection)	16	16	15	1(ii)	0	0	0
TOTAL COUNT	52	52	47	1	0	1	3

Human and Labour Rights

Non Conformances Overview

(i) 13.10 Disciplinary Practices & Harassment — Observation

The Facility has established and implemented controls to prevent corporal punishment, harsh or degrading treatment, harassment, abuse, coercion, intimidation, and other inappropriate disciplinary practices. The requirements are communicated through training and internal communication channels, and grievance mechanisms are available with provisions for confidentiality and anonymity. Interviews and records reviewed indicated no evidence of abusive disciplinary practices or monetary fines being applied.

However, the disciplinary management policy does not explicitly prohibit monetary fines as a disciplinary measure, although the Facility's practices and worker interviews did not indicate that such fines had been imposed.

This results in an Observation because controls and awareness mechanisms are established and implemented, with no evidence of monetary fines being applied, but the explicit prohibition of monetary fines is not clearly incorporated into the documented disciplinary requirements.

The Facility should strengthen its disciplinary management requirements by explicitly prohibiting monetary fines as a disciplinary measure and ensure the prohibition is clearly communicated to workers and management.

(ii) 14.16 Fire Safety, Emergency Preparedness and Response — Minor Non-Conformance

The Facility has established and implemented emergency first-aid arrangements, including first-aid kits, emergency medicines, periodic inspections, first-aid training, and certified first-aid personnel. Emergency response requirements are documented, and workers are aware of the location and availability of first-aid facilities.

However, the assessment identified that the emergency eyewash facility was not located sufficiently close to a chemical handling area where adhesive is used and replaced. Although the chemical is assessed as presenting a relatively low eye exposure risk and appropriate PPE is required for the activity, the accessibility of the emergency eyewash facility does not fully meet the applicable requirement for prompt access in the event of accidental exposure.

This results in a Minor Non-Conformance because emergency first-aid arrangements are established and implemented, but the location and accessibility of emergency eyewash facilities are not fully adequate for the identified chemical handling activities.

The Facility should ensure that appropriate eyewash facilities are installed at suitable locations and within the required access distance and unobstructed travel time from areas where chemical exposure may occur, and periodically verify their accessibility and functionality.



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Section 4: Feedback & Liability

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Disclaimer: This report is a summary of the SSL Assessment. This is a live document and the latest version can be found on <https://www.solarstewardshipinitiative.org/>

Stakeholder Feedback and Certification Notice

The Solar Stewardship Initiative (SSI) welcomes comments and feedback from all stakeholders regarding this Public Summary Report and the associated certification.

Stakeholders may submit comments, concerns, or relevant information related to a certified facility or the application of the SSI Standards at any time.

Feedback can be submitted to:
contact@solarstewardshipinitiative.org

All submissions will be reviewed in accordance with SSI's procedures for complaints, disputes, and stakeholder engagement.

Limitation of Liability

Certification under the SSI ESG Standard confirms that, at the time of assessment, the certified site demonstrated conformity with the requirements of the applicable SSI Standard within the defined certification scope.

SSI certification does not constitute a guarantee of ongoing performance, product quality, or compliance with all applicable laws and regulations.

Organisations making claims related to SSI certification remain solely responsible for ensuring that their communications, marketing materials, product information, and contractual representations comply with applicable legislation, including laws relating to consumer protection, marketing practices, labelling, and competition.

SSI does not assume liability for actions taken by certified entities or third parties based on certification status.

Certification Validity

The validity of this certification is subject to the certified site maintaining ongoing conformity with the applicable SSI Standard and successfully completing required surveillance and recertification assessments within the defined certification cycle.

Certification status may be suspended, withdrawn, or modified if non-conformances are not adequately addressed or if certification requirements are no longer met.

The current certification status of any SSI member or certified site can be verified at:
<https://www.solarstewardshipinitiative.org>